

# Annexure D

## Form No. MGT – 9

### Extract of Annual Return as on the Financial Year ended on 31<sup>st</sup> March, 2020

[Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12 (1) of the Companies (Management and Administration) Rules, 2014]

#### I. REGISTRATION AND OTHER DETAILS

i.	Corporate Identification Number	L31901HP2009PLC031083
ii.	Registration Date	9 <sup>th</sup> April, 2009
iii.	Name of the Company	Inox Wind Limited
iv.	Category/Sub-Category of the Company	Company Limited by Shares/Indian Non-Government Company
v.	Address of the Registered Office and Contact Details	Registered Office : Plot No. 1, Khasra Nos. 264 to 267, Industrial Area, Village Basal-174303, District Una, Himachal Pradesh Tel: +91 1975 272001 Fax: +91 1975 272001
vi.	Whether listed company yes or no	Yes
vii.	Name, Address and Contact Details of Registrar and Share Transfer Agents, if any	Link Intime India Private Limited Noble Heights, 1 <sup>st</sup> Floor, Plot NH 2, C-1 Block LSC, Near Savitri Market, Janakpuri, New Delhi – 110058 Tel. : +91 11 49411000 Fax: +91 11 4141 0591 Email : rnt.helpdesk@linkintime.co.in Website: <a href="http://www.linkintime.co.in">www.linkintime.co.in</a>

#### II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr. No.	Name and Description of main products/services	NIC Code of the Product/Service	% to total turnover of the Company
1	Manufacturing of Wind Turbine Generators and its Components	2710	67.36

#### III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	CIN/GLN, Name and Registered Office Address	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1.	U65910MH1995PLC085703 Inox Leasing and Finance Limited, 69, Jolly Maker Chambers II, Nariman Point, Mumbai – 400021, Maharashtra	Ultimate Holding	NIL	2 (46)
2.	L24110GJ1987PLC009362 GFL Limited (Earlier known as Gujarat Fluorochemicals Limited) Survey No. 16/3, 26 and 27 Ranjitnagar – 389380 Taluka Ghoghamba, District Panchmahal, Gujarat	Holding	56.98	2 (46)

Sr. No.	CIN/GLN, Name and Registered Office Address	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
3.	U45207GJ2012PLC070279 Inox Wind Infrastructure Services Limited Plot No. 1837 and 1834, At Moje Jetalpur, ABS Towers, Second Floor, Old Padra Road, Vadodara - 390007, Gujarat	Subsidiary	100.00	2 (87)
4.	U40200GJ2018PTC101752 Waft Energy Private Limited 301, ABS Towers, Old Padra Road, Vadodara -390007, Gujarat	Subsidiary	100.00	2 (87)
5.	U04010GJ2000PLC083233 Marut-Shakti Energy India Limited Plot No. 1837 and 1834, Moje Jetalpur, ABS Towers, Second Floor, Old Padra Road, Vadodara -390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
6.	U40100AP2013PTC089795 Satviki Energy Private Limited Flat No.303, Meenakshi Avenue, Plot No. 120, 121, 122 & 123, Cyber Village, Bikshapathi Nagar, Kondapur, Hyderabad, Rangareddi, Telangana - 500084	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
7.	U40108TG2012PTC078732 Sarayu Wind Power (Tallimadugula) Private Limited Flat No.303, Meenakshi Avenue, Plot No. 120, 121, 122 & 123, Cyber Village, Bikshapathi Nagar, Kondapur, Hyderabad, Rangareddi, Telangana - 500084	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
8.	U40109TG2007PTC056146 Vinirmaa Energy Generation Private Limited Flat No.303, Meenakshi Avenue, Plot No. 120, 121, 122 & 123, Cyber Village, Bikshapathi Nagar, Kondapur, Hyderabad, Rangareddi, Telangana - 500084	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
9.	U40108TG2012PTC078981 Sarayu Wind Power (Kondapuram) Private Limited Flat No.303, Meenakshi Avenue, Plot No. 120, 121, 122 & 123, Cyber Village, Bikshapathi Nagar, Kondapur, Hyderabad, Rangareddi, Telangana - 500084	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
10.	U40100TG2005PLC047851 RBRK Investments Limited Flat No.303, Meenakshi Avenue, Plot No. 120, 121, 122 & 123, Cyber Village, Bikshapathi Nagar, Kondapur, Hyderabad, Rangareddi, Telangana - 500084	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
11.	U40106GJ2017PTC097088 Wind One Renergy Private Limited Survey No. 1837 & 1834, At Moje Jetalpur, ABS Towers, 2 <sup>nd</sup> Floor, Old Padra Road, Vadodara -390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
12.	U40300GJ2017PTC096960 Wind Two Renergy Private Limited Survey No. 1837 & 1834, At Moje Jetalpur, ABS Towers, 2 <sup>nd</sup> Floor, Old Padra Road, Vadodara -390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)

<b>Sr. No.</b>	<b>CIN/GLN, Name and Registered Office Address</b>	<b>Holding/ Subsidiary/ Associate</b>	<b>% of shares held</b>	<b>Applicable Section</b>
13.	U40200GJ2017PTC096956 Wind Three Renergy Private Limited Survey No. 1837 & 1834, At Moje Jetalpur, ABS Towers, 2 <sup>nd</sup> Floor, Old Padra Road, Vadodara -390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
14.	U40300GJ2017PTC097003 Wind Four Renergy Private Limited Survey No. 1837 & 1834, At Moje Jetalpur, ABS Towers, 2 <sup>nd</sup> Floor, Old Padra Road, Vadodara -390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
15.	U40100GJ2017PTC096973 Wind Five Renergy Private Limited Survey No. 1837 & 1834, At Moje Jetalpur, ABS Towers, 2 <sup>nd</sup> Floor, Old Padra Road, Vadodara -390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
16.	U40300GJ2017PTC097128 Suswind Power Private Limited 301, ABS Towers, Old Padra Road, Vadodara - 390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
17.	U40100GJ2017PTC097130 Vasuprada Renewables Private Limited 301, ABS Towers, Old Padra Road, Vadodara -390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
18.	U40300GJ2017PTC097140 Ripudaman Urja Private Limited 301, ABS Towers, Old Padra Road, Vadodara -390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
19.	U40106GJ2017PTC098230 Vibhav Energy Private Limited 301, ABS Towers, Old Padra Road, Vadodara -390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
20.	U40300GJ2017PTC099818 Haroda Wind Energy Private Limited 301, ABS Towers, Old Padra Road, Vadodara -390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
21.	U40300GJ2017PTC099831 Khatiyu Wind Energy Private Limited 301, ABS Towers, Old Padra Road, Vadodara -390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
22.	U40300GJ2017PTC099851 Vigodi Wind Energy Private Limited 301, ABS Towers, Old Padra Road, Vadodara 390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
23.	U40300GJ2017PTC099854 Ravapar Wind Energy Private Limited 301, ABS Towers, Old Padra Road, Vadodara -390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)

Sr. No.	CIN/GLN, Name and Registered Office Address	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
24.	U40300GJ2017PTC099852 Nani Virani Wind Energy Private Limited 301, ABS Towers, Old Padra Road, Vadodara – 390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
25.	U40300GJ2018PTC100585 Aliento Wind Energy Private Limited 301, ABS Towers, Old Padra Road, Vadodara – 390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
26.	U40106GJ2018PTC100590 Tempest Wind Energy Private Limited 301, ABS Towers, Old Padra Road, Vadodara – 390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
27.	U40106GJ2018PTC100591 Vuelta Wind Energy Private Limited 301, ABS Towers, Old Padra Road, Vadodara – 390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
28.	U40300GJ2018PTC100609 Flutter Wind Energy Private Limited 301, ABS Towers, Old Padra Road, Vadodara – 390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
29.	U40200GJ2018PTC100607 Flurry Wind Energy Private Limited 301, ABS Towers, Old Padra Road, Vadodara – 390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)
30.	U40300GJ2018PTC101713 Sri Pavan Energy Private Limited* 301, ABS Towers, Old Padra Road, Vadodara – 390007, Gujarat	Step-down Subsidiary	51.00 held by Inox Wind Infrastructure Services Limited	2 (87)
31.	U40106GJ2020PTC112187 Resco Global Wind Services Private Limited 301, ABS Towers, Old Padra Road, Vadodara – 390007, Gujarat	Step-down Subsidiary	100.00 held by Inox Wind Infrastructure Services Limited	2 (87)

\* Ceased to be the step-down subsidiary w.e.f. 22<sup>nd</sup> May, 2020

#### IV. SHAREHOLDING PATTERN (Equity Share Capital break up as a percentage of Total Equity)

##### i. Category-wise Share Holding

Sr. No.	Category of Shareholders	No. of shares held at the beginning of the year (1 <sup>st</sup> April, 2019)				No. of shares held at the end of the year (31 <sup>st</sup> March, 2020)				% Change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group</b>									
<b>[1]</b>	<b>Indian</b>									
(a)	Individuals/Hindu Undivided Family	0	0	0	0.0000	0	0	0	0.0000	
(b)	Central Government/State Government(s)	0	0	0	0.0000	0	0	0	0.0000	
(c)	Financial Institutions/Banks	0	0	0	0.0000	0	0	0	0.0000	
(d)	Any Other (Specify)									
	Bodies Corporate	166,438,669	0	166,438,669	75.0000	166,438,669	0	166,438,669	75.0000	
	<b>Sub Total (A)(1)</b>	<b>166,438,669</b>	<b>0</b>	<b>166,438,669</b>	<b>75.0000</b>	<b>166,438,669</b>	<b>0</b>	<b>166,438,669</b>	<b>75.0000</b>	

Sr. No.	Category of Shareholders	No. of shares held at the beginning of the year (1 <sup>st</sup> April, 2019)				No. of shares held at the end of the year (31 <sup>st</sup> March, 2020)				% Change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>[2]</b>	<b>Foreign</b>									
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0.0000	0	0	0	0.0000	0.0000
(b)	Government	0	0	0	0.0000	0	0	0	0.0000	0.0000
(c)	Institutions	0	0	0	0.0000	0	0	0	0.0000	0.0000
(d)	Foreign Portfolio Investor	0	0	0	0.0000	0	0	0	0.0000	0.0000
(e)	Any Other (Specify)									
	<b>Sub Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>
	<b>Total Shareholding of Promoter and Promoter Group(A)=(A)(1)+(A)(2)</b>	<b>166,438,669</b>	<b>0</b>	<b>166,438,669</b>	<b>75.0000</b>	<b>166,438,669</b>	<b>0</b>	<b>166,438,669</b>	<b>75.0000</b>	<b>0.0000</b>
<b>(B)</b>	<b>Public Shareholding</b>									
<b>[1]</b>	<b>Institutions</b>									
(a)	Mutual Funds/UTI	624,454	0	624,454	0.2814	773	0	773	0.0003	-0.2811
(b)	Venture Capital Funds	0	0	0	0.0000	0	0	0	0.0000	0.0000
(c)	Alternate Investment Funds	991,998	0	991,998	0.4470	782,462	0	782,462	0.3526	-0.0944
(d)	Foreign Venture Capital Investors	0	0	0	0.0000	0	0	0	0.0000	0.0000
(e)	Foreign Portfolio Investor	15,366,418	0	15,366,418	6.9244	4,227,762	0	4,227,762	1.9051	-5.0193
(f)	Financial Institutions/Banks	77,125	0	77,125	0.0348	400,050	0	400,050	0.1803	0.1455
(g)	Insurance Companies	0	0	0	0.0000	0	0	0	0.0000	0.0000
(h)	Provident Funds/Pension Funds	0	0	0	0.0000	0	0	0	0.0000	0.0000
(i)	Any Other (Specify)									
	<b>Sub Total (B)(1)</b>	<b>17,059,995</b>	<b>0</b>	<b>17,059,995</b>	<b>7.6876</b>	<b>5,411,047</b>		<b>5,411,047</b>	<b>2.4383</b>	<b>-5.2493</b>
<b>[2]</b>	<b>Central Government/State Government(s)/ President of India</b>									
	<b>Sub Total (B)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>
<b>[3]</b>	<b>Non-Institutions</b>									
(a)	Individuals									
(i)	Individual shareholders holding nominal share capital upto ₹ 1 lakh.	9,129,430	1	9,129,431	4.1139	9,906,798	1	9,906,799	4.4642	0.3503
(ii)	Individual shareholders holding nominal share capital in excess of ₹ 1 lakh	10,254,012	0	10,254,012	4.6206	10,844,035	0	10,844,035	4.8865	0.2659
(b)	NBFCs registered with RBI	510,863	0	510,863	0.2302	470,000	0	470,000	0.2118	-0.0184
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0.0000	0	0	0	0.0000	0.0000
(e)	Any Other (Specify)									
	Trusts	7,200	0	7,200	0.0032	1,550	0	1,550	0.0007	-0.0025
	Foreign Nationals	430	0	430	0.0002	430	0	430	0.0002	0.0000
	Hindu Undivided Family	4,194,707	0	4,194,707	1.8902	3,032,079	0	3,032,079	1.3663	-0.5239
	Non Resident Indians (Non Repat)	438,595	0	438,595	0.1976	1,534,995	0	1,534,995	0.6917	0.4941
	Non Resident Indians (Repat)	486,680	0	486,680	0.2193	604,147	0	604,147	0.2722	0.0529
	Clearing Member	661,302	0	661,302	0.2980	1,991,125	0	1,991,125	0.8972	0.5992
	Bodies Corporate	12,736,342	0	12,736,342	5.7392	21,683,350	0	21,683,350	9.7709	4.0317
	<b>Sub Total (B)(3)</b>	<b>38,419,561</b>	<b>1</b>	<b>38,419,562</b>	<b>17.3124</b>	<b>50,068,509</b>	<b>1</b>	<b>50,068,510</b>	<b>22.5617</b>	<b>5.2493</b>
	<b>Total Public Shareholding(B)=(B)(1)+(B)(2)+(B)(3)</b>	<b>55,479,556</b>	<b>1</b>	<b>55,479,557</b>	<b>25.0000</b>	<b>55,479,556</b>	<b>1</b>	<b>55,479,557</b>	<b>25.0000</b>	<b>0.0000</b>
	<b>Total (A)+(B)</b>	<b>221,918,225</b>	<b>1</b>	<b>221,918,226</b>	<b>100.0000</b>	<b>221,918,225</b>	<b>1</b>	<b>221,918,226</b>	<b>100.0000</b>	<b>0.0000</b>
<b>(C)</b>	<b>Non Promoter - Non Public</b>									
[1]	Custodian/DR Holder	0	0	0	0.0000	0	0	0	0.0000	0.0000
[2]	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0.0000	0	0	0	0.0000	0.0000
	<b>Total (A)+(B)+(C)</b>	<b>221,918,225</b>	<b>1</b>	<b>221,918,226</b>	<b>100.0000</b>	<b>221,918,225</b>	<b>1</b>	<b>221,918,226</b>	<b>100.0000</b>	<b>0.0000</b>

## (ii) Shareholding of Promoters

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year (1 <sup>st</sup> April, 2019)			Shareholding at the end of the year (31 <sup>st</sup> March, 2020)			% change in shareholding during the year
		No. of Shares Held	% of total Shares of the company	% of Shares Pledged /encumbered to total shares	No. of Shares Held	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	
1	GFL Limited (Earlier known as Gujarat Fluorochemicals Limited)	126,438,669	56.9753	0.0000	126,438,669	56.9753	0.0000	0.0000
2	Devansh Trademart LLP	10,000,000	4.5062	0.0000	10,000,000	4.5062	0.0000	0.0000
3	Inox Chemicals LLP	10,000,000	4.5062	0.0000	10,000,000	4.5062	0.0000	0.0000
4	Siddhapavan Trading LLP	10,000,000	4.5062	0.0000	10,000,000	4.5062	0.0000	0.0000
5	Siddho Mal Trading LLP	10,000,000	4.5062	0.0000	10,000,000	4.5062	0.0000	0.0000
	<b>Total</b>	<b>166,438,669</b>	<b>75.0000</b>	<b>0.0000</b>	<b>166,438,669</b>	<b>75.0000</b>	<b>0.0000</b>	<b>0.0000</b>

## (iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sr. No.	Name & type of transaction	Shareholding at the beginning of the year (1 <sup>st</sup> April, 2019)		Transactions during the year		Cumulative Shareholding at the end of the year (31 <sup>st</sup> March, 2020)	
		No. of shares held	% of total shares of the Company	Date of transaction	No. of shares	No. of shares held	% of total shares of the Company
1	GFL Limited (Earlier known as Gujarat Fluorochemicals Limited)	126,438,669	56.9753	-	-	126,438,669	56.9753
	At the end of the year					126,438,669	56.9753
2	Inox Chemicals LLP	10,000,000	4.5062	-	-	10,000,000	4.5062
	At the end of the year					10,000,000	4.5062
3	Devansh Trademart LLP	10,000,000	4.5062	-	-	10,000,000	4.5062
	At the end of the year					10,000,000	4.5062
4	Siddhapavan Trading LLP	10,000,000	4.5062	-	-	10,000,000	4.5062
	At the end of the year					10,000,000	4.5062
5	Siddho Mal Trading LLP	10,000,000	4.5062	-	-	10,000,000	4.5062
	At the end of the year					10,000,000	4.5062

## (iv) Shareholding Pattern of top ten Shareholders

(other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	Name & type of transaction	Shareholding at the beginning of the year (1 <sup>st</sup> April, 2019)		Transactions during the year		Cumulative Shareholding at the end of the year (31 <sup>st</sup> March, 2020)	
		No. of shares held	% of total shares of the Company	Date of transaction	No. of shares	No. of shares held	% of total shares of the Company
1	PROGRESSIVE STAR FINANCE PVT LTD	0	0.0000			0	0.0000
	Transfer			03 Jan 2020	1,000,000	1,000,000	0.4506
	Transfer			10 Jan 2020	560,000	1,560,000	0.7030
	Transfer			17 Jan 2020	1,998,610	3,558,610	1.6036
	Transfer			24 Jan 2020	1,500,000	5,058,610	2.2795
	Transfer			07 Feb 2020	286,956	5,345,566	2.4088
	Transfer			14 Feb 2020	510,909	5,856,475	2.6390
	Transfer			21 Feb 2020	3,525	5,860,000	2.6406
	Transfer			13 Mar 2020	(200,000)	5,660,000	2.5505
	Transfer			27 Mar 2020	1,000,000	6,660,000	3.0011
	AT THE END OF THE YEAR					6,660,000	3.0011

Sr. No.	Name & type of transaction	Shareholding at the beginning of the year (1 <sup>st</sup> April, 2019)		Transactions during the year		Cumulative Shareholding at the end of the year (31 <sup>st</sup> March, 2020)	
		No. of shares held	% of total shares of the Company	Date of transaction	No. of shares	No. of shares held	% of total shares of the Company
2	AADI FINANCIAL ADVISORS LLP	3,111,110	1.4019			3,111,110	1.4019
	Transfer			05 Apr 2019	(40,546)	3,070,564	1.3836
	Transfer			31 Dec 2019	(236,349)	2,834,215	1.2771
	Transfer			03 Jan 2020	(125,000)	2,709,215	1.2208
	AT THE END OF THE YEAR					2,709,215	1.2208
3	ELARA INDIA OPPORTUNITIES FUND LIMITED	2,200,000	0.9914			2,200,000	0.9914
	AT THE END OF THE YEAR					2,200,000	0.9914
4	EMKAY GLOBAL FINANCIAL SERVICE LTD A/C F&O EXCHANGE COLLATERAL ACCOUNT	0	0.0000			0	0.0000
	Transfer			12 Apr 2019	1	1	0.0000
	Transfer			17 May 2019	249	250	0.0001
	Transfer			24 May 2019	250	500	0.0002
	Transfer			14 Jun 2019	(250)	250	0.0001
	Transfer			09 Aug 2019	(206)	44	0.0000
	Transfer			23 Aug 2019	956	1,000	0.0005
	Transfer			30 Aug 2019	(900)	100	0.0000
	Transfer			20 Sep 2019	106	206	0.0001
	Transfer			29 Nov 2019	99,794	100,000	0.0451
	Transfer			06 Dec 2019	(54,849)	45,151	0.0203
	Transfer			13 Dec 2019	343	45,494	0.0205
	Transfer			20 Dec 2019	10,106	55,600	0.0251
	Transfer			27 Dec 2019	(54,700)	900	0.0004
	Transfer			03 Jan 2020	(850)	50	0.0000
	Transfer			10 Jan 2020	2,203	2,253	0.0010
	Transfer			17 Jan 2020	(2,203)	50	0.0000
	Transfer			24 Jan 2020	11,980	12,030	0.0054
	Transfer			31 Jan 2020	10,897	22,927	0.0103
	Transfer			07 Feb 2020	(8,243)	14,684	0.0066
	Transfer			14 Feb 2020	(9,409)	5,275	0.0024
	Transfer			21 Feb 2020	(3,525)	1,750	0.0008
	Transfer			28 Feb 2020	2,499,500	2,501,250	1.1271
	Transfer			06 Mar 2020	(169,247)	2,332,003	1.0508
	Transfer			13 Mar 2020	(298,248)	2,033,755	0.9164
	Transfer			20 Mar 2020	(1,502)	2,032,253	0.9158
	AT THE END OF THE YEAR					2,032,253	0.9158
5	INDIA OPPORTUNITIES GROWTH FUND LTD - PINEWOOD STRATEGY	1,183,335	0.5332			1,183,335	0.5332
	Transfer			03 Jan 2020	100,000	1,283,335	0.5783
	Transfer			10 Jan 2020	37,500	1,320,835	0.5952
	Transfer			17 Jan 2020	90,182	1,411,017	0.6358
	AT THE END OF THE YEAR					1,411,017	0.6358
6	NIRMAL BANG SECURITIES PRIVATE LIMITED	13,675	0.0062			13,675	0.0062
	Transfer			05 Apr 2019	496	14,171	0.0064
	Transfer			12 Apr 2019	7,944	22,115	0.0100
	Transfer			19 Apr 2019	(2,490)	19,625	0.0088
	Transfer			26 Apr 2019	48	19,673	0.0089
	Transfer			03 May 2019	(1,845)	17,828	0.0080
	Transfer			10 May 2019	802	18,630	0.0084
	Transfer			17 May 2019	10	18,640	0.0084
	Transfer			24 May 2019	(322)	18,318	0.0083
	Transfer			31 May 2019	348	18,666	0.0084
	Transfer			07 Jun 2019	(7,872)	10,794	0.0049

Sr. No.	Name & type of transaction	Shareholding at the beginning of the year (1 <sup>st</sup> April, 2019)		Transactions during the year		Cumulative Shareholding at the end of the year (31 <sup>st</sup> March, 2020)	
		No. of shares held	% of total shares of the Company	Date of transaction	No. of shares	No. of shares held	% of total shares of the Company
	Transfer			14 Jun 2019	(83)	10,711	0.0048
	Transfer			21 Jun 2019	(175)	10,536	0.0047
	Transfer			29 Jun 2019	(10)	10,526	0.0047
	Transfer			05 Jul 2019	(222)	10304	0.0046
	Transfer			12 Jul 2019	306	10,610	0.0048
	Transfer			19 Jul 2019	(13)	10,597	0.0048
	Transfer			26 Jul 2019	200	10,797	0.0049
	Transfer			02 Aug 2019	(190)	10,607	0.0048
	Transfer			09 Aug 2019	(3,813)	6,794	0.0031
	Transfer			16 Aug 2019	479	7,273	0.0033
	Transfer			23 Aug 2019	459	7,732	0.0035
	Transfer			30 Aug 2019	1,216	8,948	0.0040
	Transfer			06 Sep 2019	(986)	7,962	0.0036
	Transfer			13 Sep 2019	317	8,279	0.0037
	Transfer			20 Sep 2019	(1,190)	7,089	0.0032
	Transfer			27 Sep 2019	(1,694)	5,395	0.0024
	Transfer			30 Sep 2019	315	5,710	0.0026
	Transfer			04 Oct 2019	(1,385)	4,325	0.0019
	Transfer			11 Oct 2019	(365)	3,960	0.0018
	Transfer			18 Oct 2019	(225)	3,735	0.0017
	Transfer			25 Oct 2019	75	3,810	0.0017
	Transfer			01 Nov 2019	(130)	3,680	0.0017
	Transfer			08 Nov 2019	(47)	3,633	0.0016
	Transfer			15 Nov 2019	3,107	6,740	0.0030
	Transfer			22 Nov 2019	(1,454)	5,286	0.0024
	Transfer			29 Nov 2019	(227)	5,059	0.0023
	Transfer			06 Dec 2019	172	5,231	0.0024
	Transfer			13 Dec 2019	375	5,606	0.0025
	Transfer			20 Dec 2019	(3)	5,603	0.0025
	Transfer			27 Dec 2019	4,260	9,863	0.0044
	Transfer			31 Dec 2019	(5,068)	4,795	0.0022
	Transfer			03 Jan 2020	(2,617)	2,178	0.0010
	Transfer			10 Jan 2020	6,193	8,371	0.0038
	Transfer			17 Jan 2020	9,330	17,701	0.0080
	Transfer			24 Jan 2020	(9,961)	7,740	0.0035
	Transfer			31 Jan 2020	4,552	12,292	0.0055
	Transfer			07 Feb 2020	4,011	16,303	0.0073
	Transfer			14 Feb 2020	(72)	16,231	0.0073
	Transfer			21 Feb 2020	(1,033)	15,198	0.0068
	Transfer			28 Feb 2020	(3,974)	11,224	0.0051
	Transfer			06 Mar 2020	631	11,855	0.0053
	Transfer			13 Mar 2020	1,661	13,516	0.0061
	Transfer			20 Mar 2020	(54)	13,462	0.0061
	Transfer			27 Mar 2020	3,127	16,589	0.0075
	Transfer			31 Mar 2020	1,326,863	13,43,452	0.6054
	AT THE END OF THE YEAR					13,43,452	0.6054
7	MEENU BHANSHALI	1,339,883	0.6038			13,39,883	0.6038
	AT THE END OF THE YEAR					13,39,883	0.6038
8	MANEK BHANSHALI	1,291,154	0.5818			12,91,154	0.5818
	AT THE END OF THE YEAR					12,91,154	0.5818
9	JANHAVI NILEKANI	1,274,630	0.5744			12,74,630	0.5744
	AT THE END OF THE YEAR					12,74,630	0.5744

Sr. No.	Name & type of transaction	Shareholding at the beginning of the year (1 <sup>st</sup> April, 2019)		Transactions during the year		Cumulative Shareholding at the end of the year (31 <sup>st</sup> March, 2020)	
		No. of shares held	% of total shares of the Company	Date of transaction	No. of shares	No. of shares held	% of total shares of the Company
10	NIHAR NILEKANI	231,000	0.1041			231,000	0.1041
	Transfer			24 May 2019	108,745	339,745	0.1531
	Transfer			29 Jun 2019	374,000	713,745	0.3216
	Transfer			05 Jul 2019	226,000	939,745	0.4235
	Transfer			12 Jul 2019	200,000	1,139,745	0.5136
	AT THE END OF THE YEAR					1,139,745	0.5136
11	SECURITIES HOLDINGS INDIA PRIVATE LIMITED	1,500,000	0.6759			1,500,000	0.6759
	Transfer			29 Nov 2019	(125,000)	1,375,000	0.6196
	Transfer			06 Dec 2019	(199,378)	1,175,622	0.5298
	Transfer			20 Mar 2020	(275,622)	900,000	0.4056
	AT THE END OF THE YEAR					900,000	0.4056
12	MANGAL BHANSHALI	2,120,000	0.9553			2,120,000	0.9553
	Transfer			31 Mar 2020	(1,330,000)	790,000	0.3560
	AT THE END OF THE YEAR					790,000	0.3560
13	NOMURA SINGAPORE LIMITED ODI	10,548,070	4.7531			10,548,070	4.7531
	Transfer			13 Dec 2019	(257,831)	10,290,239	4.6370
	Transfer			20 Dec 2019	(243,461)	10,046,778	4.5272
	Transfer			03 Jan 2020	(206,084)	9,840,694	4.4344
	Transfer			10 Jan 2020	(588,003)	9,252,691	4.1694
	Transfer			17 Jan 2020	(2,000,000)	7,252,691	3.2682
	Transfer			24 Jan 2020	(1,301,860)	5,950,831	2.6815
	Transfer			31 Jan 2020	(283,135)	5,667,696	2.5540
	Transfer			07 Feb 2020	(300,000)	5,367,696	2.4188
	Transfer			14 Feb 2020	(1,000,000)	4,367,696	1.9682
	Transfer			21 Feb 2020	(1,000,000)	3,367,696	1.5175
	Transfer			28 Feb 2020	(1,000,000)	2,367,696	1.0669
	Transfer			06 Mar 2020	(500,000)	1,867,696	0.8416
	Transfer			13 Mar 2020	(18,821)	1,848,875	0.8331
	Transfer			20 Mar 2020	(525,774)	1,323,101	0.5962
	Transfer			31 Mar 2020	(1,000,000)	323,101	0.1456
	AT THE END OF THE YEAR					323,101	0.1456
14	PAYAL BHANSHALI	1,431,801	0.6452			1,431,801	0.6452
	Transfer			07 Jan 2020	(1,431,801)	0	0.0000
	AT THE END OF THE YEAR					0	0.0000

## (v) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	For each of the Directors and KMP	Shareholding at the beginning of the year (1 <sup>st</sup> April, 2019)		Date	Increase or Decrease in Holding	Shareholding at the end of the year (31 <sup>st</sup> March, 2020)	
		No. of shares held	% of total shares of the Company			No. of shares	% of total shares of the Company
<b>Directors</b>							
1	Shri Devansh Jain, Whole -time Director	0	0	Nil Movement	Nil Movement	0	0
2	Shri Siddharth Jain, Non Independent Director	0	0	Nil Movement	Nil Movement	0	0

Sr. No.	For each of the Directors and KMP	Shareholding at the beginning of the year (1 <sup>st</sup> April, 2019)		Date	Increase or Decrease in Holding	Shareholding at the end of the year (31 <sup>st</sup> March, 2020)	
		No. of shares held	% of total shares of the Company			No. of shares	% of total shares of the Company
3	Shri Kailash Lal Tarachandani, Whole-time Director & Chief Executive Officer	2,230	0.001	Nil Movement	Nil Movement	2,230	0.001
4	Shri Shanti Prashad Jain, Independent Director	0	0	Nil Movement	Nil Movement	0	0
5	Shri Chandra Prakash Jain, Independent Director (upto 20 <sup>th</sup> October 2019)	0	0	Nil Movement	Nil Movement	0	0
6	Ms. Bindu Saxena, Independent Director	0	0	Nil Movement	Nil Movement	0	0
7	Shri V. Sankaranarayanan, Independent Director	0	0	Nil Movement	Nil Movement	0	0
<b>Key Managerial Personnel</b>							
8	Shri Narayan Lodha, Chief Financial Officer	0	0	Nil Movement	Nil Movement	0	0
9	Shri Deepak Banga, Company Secretary	2	0	Nil Movement	Nil Movement	2	0

## V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(₹ in Lakhs)

Details of Indebtedness	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i. Principal Amount	64,612.39	9,500.00	-	74,112.39
ii. Interest due but not paid	312.97	-	-	312.97
iii. Interest accrued but not due	62.67	-	-	62.67
<b>Total (i+ii+iii)</b>	<b>64,988.03</b>	<b>9,500.00</b>	<b>-</b>	<b>74,488.03</b>
<b>Change in Indebtedness during the financial year</b>				
• Addition	-	2,874.77	-	2,874.77
• Reduction	(16,663.12)	-	-	(16,663.12)
<b>Net Change</b>	<b>(16,663.12)</b>	<b>2,874.77</b>	<b>-</b>	<b>(13,788.35)</b>
<b>Indebtedness at the end of the financial year</b>				
i. Principal Amount	48,017.91	11,985.00	-	60,002.91
ii. Interest due but not paid	272.92	-	-	272.92
iii. Interest accrued but not due	34.08	389.77	-	423.85
<b>Total (i+ii+iii)</b>	<b>48,324.91</b>	<b>12,374.77</b>	<b>-</b>	<b>60,699.68</b>

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A. Remuneration to Managing Director, Whole-time Directors and/or Manager

Sr. No.	Particulars of Remuneration	Name of MD/WTD/Manager		Total Amount (₹ in Lakhs)
		Shri Devansh Jain (Whole-time Director)	Shri Kailash Lal Tarachandani (Whole-time Director & CEO)	
<b>1</b>	<b>Gross salary</b>			
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961	84.00	246.90*	330.90
	(b) Value of perquisites u/s 17(2) Income Tax Act, 1961	0.00	0.00	0.00
	(c) Profits in lieu of salary under section 17(3) Income Tax Act, 1961	0.00	0.00	0.00
2	Stock Option	0.00	0.00	0.00
3	Sweat Equity	0.00	0.00	0.00
4	Commission	0.00	0.00	0.00
	- as % of profit	-	-	-
	- others, specify...	-	-	-
5	Others, please specify:	8.64	0.22	8.86
	Contribution to Provident Fund	-	-	-
	<b>Total (A)</b>	<b>92.64</b>	<b>247.12</b>	<b>339.76</b>
	Ceiling as per the Act			#

\* includes amount of ₹100 Lakhs paid towards special incentive/award.

# not applicable as the Company has incurred losses during the Financial Year 2019-20.

### B. Remuneration to Other Directors

Sr. No.	Particulars of Remuneration	Name of Directors				Total Amount (₹ in Lakhs)
		Shri Shanti Prashad Jain	Shri Chandra Prakash Jain*	Ms. Bindu Saxena	Shri V. Sankaranarayanan	
<b>1</b>	<b>Independent Directors</b>					
	Fee for attending Board/Committee Meetings	1.80	0.80	0.80	1.20	4.60
	Commission	-	-	-	-	-
	Others	-	-	-	-	-
	<b>Total (1)</b>	<b>1.80</b>	<b>0.80</b>	<b>0.80</b>	<b>1.20</b>	<b>4.60</b>
<b>2</b>	<b>Other Non-Executive Directors</b>					
		<b>Shri Siddharth Jain</b>				
	Fee for attending Board/Committee Meetings	0.20				0.20
	Commission	-				-
	Others	-				-
	<b>Total (2)</b>	<b>0.20</b>				<b>0.20</b>
	<b>Total of B = (1+2)</b>					<b>4.80</b>
	<b>Total Managerial Remuneration (A +B)</b>					<b>344.56</b>
	<b>Overall Ceiling as per the Act</b>					<b>#</b>

\* ceased to be a Director w.e.f. 21<sup>st</sup> October, 2019.

# not applicable as the Company has incurred losses during the Financial Year 2019-20.

## C. Remuneration to Key Managerial Personnel (KMP) other than MD/Manager/WTD

Sr. No.	Particulars of Remuneration	Key Managerial Personnel		
		Chief Financial Officer (Shri Narayan Lodha)	Company Secretary (Shri Deepak Banga)	Total (₹ in Lakhs)
1	Gross salary			
	(a) Salary as per provisions contained in Section 17(1) of Income Tax Act, 1961	42.42	34.53	76.95
	(b) Value of perquisites u/s 17(2) of Income-tax Act, 1961	0.00	0.00	0.00
	(c) Profits in lieu of salary under Section 17(3) of Income Tax Act, 1961	0.00	0.00	0.00
2	Stock Option	0.00	0.00	0.00
3	Sweat Equity	0.00	0.00	0.00
4	Commission			
	- as % of profit	0.00	0.00	0.00
	- others, specify...	0.00	0.00	
5	Others, please specify (Provident Fund)	0.22	0.21	0.43
<b>Total</b>		<b>42.64</b>	<b>34.74*</b>	<b>77.38</b>

\* excludes ₹ 5.51 Lakhs paid towards variable pay which relates to previous financial years.

## VII. PENALTIES/PUNISHMENTS/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority [RD/NCLT/Court]	Appeal made, if any (give details)
<b>Company</b>					
Penalty	-	Nil	Nil	Nil	Nil
Punishment	-	Nil	Nil	Nil	Nil
Compounding	-	Nil	Nil	Nil	Nil
<b>Directors</b>					
Penalty	-	Nil	Nil	Nil	Nil
Punishment	-	Nil	Nil	Nil	Nil
Compounding	-	Nil	Nil	Nil	Nil
<b>Other Officers in default</b>					
Penalty	-	Nil	Nil	Nil	Nil
Punishment	-	Nil	Nil	Nil	Nil
Compounding	-	Nil	Nil	Nil	Nil